

Risk Monitoring of Strategy

A/A	Investment Questionnaire	Allocation %	(a)	(b)	(c)	1 (low)	2 (medium)	3 (high)	Answer	Ranking	Score out of 3
1.	Capital Risk (Risk Appetite)	35	up to 25%	25%-50%	More than 50%	a	b	c	25% - 50%	2	0.7
2.	Investment Horizon	5	Less than 24 hours (short)	From one day to 30 days (medium)	More than 30 days (long)	c	b	a	Less than 24 hours (short)	3	0.15
3.	SP/MM experience	10	Less than 1 year	1 year - 3 years	Over 3 years	c	b	a	1 year - 3 years	2	0.2
4.	Education/Training	5	YES	NO		a		b	YES	1	0.05
5.	Targeted annual performance	5	Up to 20%	20%-50%	More than 50%	a	b	c	Up to 20%	1	0.05
6.	Keeping positions overnight or over the weekend	5	YES	NO		b		a	NO	1	0.05
7.	Profitable track record	2	0-6 months	6-18 months	More than 18 months	c	b	a	6-18 months	2	0.04
8.	Leverage	3	up to 10	11-30	More than 30	a	b	c	More than 30	3	0.09
9.	Does the strategy use Stop/Loss	5	YES	NO		a		c	YES	1	0.05
10.	Risk Diversification (Forex, Commodities, Shares/Indices)	5	CFDs on 1 underlying asset	CFDs on 1 underlying asset	CFDs on 3 underlying asset	c	b	a	CFDs on 2 underlying asset	2	0.1
11.	Target Profit Percentage	10	0-3%	3-6%	More than 6%	a	b	c	3-6%	2	0.2
12.	Maximum Drawdown %	10	0-8%	8-15%	More than 15%	a	b	c	0-8%	1	0.1
RESULT										1.78	

Scoring Analysis		
up to 1.75	Low	
1.76 - 2.15	Medium	
2.16 more more	High	

Strategy Provider Name	Result	Date
(Insert Strategy Providers name)		
Strategy Name		
(Insert Strategy name)		
Head of Portfolio Management		
(Insert name of Head of Portfolio Management Dept.)		